

Internal Audit Progress Report

REPORT TO AUDIT AND STANDARDS COMMITTEE



DATE	11/01/2017
PORTFOLIO	Resources and Performance Management
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PURPOSE

1. To inform members of the work undertaken by the Internal Audit Section for the period 1st July 2016 to 30th September 2016.

RECOMMENDATION

2. The Committee considers the progress report and comments on its contents.

REASONS FOR RECOMMENDATION

3. Members can monitor the performance of the Internal Audit Section.

SUMMARY OF KEY POINTS

Audit Reports

4. From 1st July to 30th September 2016 there have been 5 audit reports produced. Details of these are given in **Appendix 1**

Performance Statistics

5. The comparison between actual and planned audits can be seen in **Appendix 2**. A number of audits have started, and to date have been completed but due to timing will form part of later quarter statistics to be reported.
6. Performance indicators for Internal Audit are reported in the Finance balanced scorecard. The service currently reports the number of audit reports produced – 9 against an annual target of 22 and the percentage of high-priority actions from audit action plans implemented which was 100% and has a target of 100%.

Other Activity

7. During the quarter the Internal Audit team continues to provide assistance in the work of Council services transferred to the strategic partner; attending various groups to monitor the contact and provide advice and guidance.

8. Support was provided on the Financial Transformation Project, production of the Statement of Accounts, business continuity management, information governance and in the selection of a Housing Joint Venture Partner.
9. One internal auditor was seconded to Accountancy as support for Statement of Accounts production. This auditor returned to audit in September.
10. Work continues with other Lancashire Authorities to arrange a Peer Review process for compliance with the Public Sector Internal Audit Standards. This process has now commenced and Burnley's internal audit is planned for a Peer Review in May 2017. The external review is essential for compliance with the standards which is proper practice for Internal Audit required by the Accounts and Audit Regulations 2015.
11. An officer in internal audit has begun studying for the Institute of Internal Audit's 'Certified Internal Auditor' qualification.

FINANCIAL IMPLICATIONS AND BUDGET PROVISION

12. None

POLICY IMPLICATIONS

13. None

DETAILS OF CONSULTATION

14. None

BACKGROUND PAPERS

15. None

FURTHER INFORMATION

PLEASE CONTACT:

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